



8-2-01

GP. 2161

Docket No. 3984-4008

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Peter Sebastian Gargone

Group Art 2161

Serial No.: 09/834,287

Examiner: TBA

Filed: April 12, 2001

For: AUTOMATING HIGH-LEVEL BUSINESS FUNCTIONS IN A GENERIC MANNER

**RECEIVED**  
AUG 06 2001  
Technology Center 2100**EXPRESS MAIL CERTIFICATE**Express Mail Label No.: EL513415685US . . .Date of Deposit: August 1, 2001 . . .

I hereby certify that the following attached paper(s) and/or fee

1. Information Disclosure Statement;
2. PTO-1449 Form attaching 14 references; and
3. Return Receipt Postcard

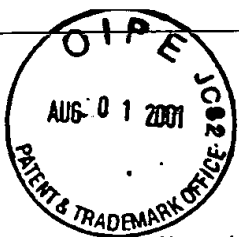
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Jesus R. Remedios . . .

(Typed or printed name of person mailing paper(s) and/or fee)

  
(Signature of person mailing paper(s) and/or fee)**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



Docket No. 3984-4008

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**RECEIVED**  
AUG 06 2001  
Technology Center 2100

Applicant(s): Peter Sebastian Gargone

Group Art Unit: 2161

Serial No.: 09/834,287

Examiner: TBA

Filed: April 12, 2001

For: AUTOMATING HIGH-LEVEL BUSINESS FUNCTIONS IN A GENERIC MANNER

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are attached ☐ were filed in related application U.S. Serial No(s) \_\_\_\_\_, filed \_\_\_\_\_, respectively.

- ☐ This document is being filed within three (3) months of the filing date of the application
- ☐ Please charge the \$180 fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_.
- ☐ This document is being concurrently filed with the above-identified application
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE)
- ☒ This document is being filed prior to a first Office Action
- ☐ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 3984-4008. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: August 1, 2001

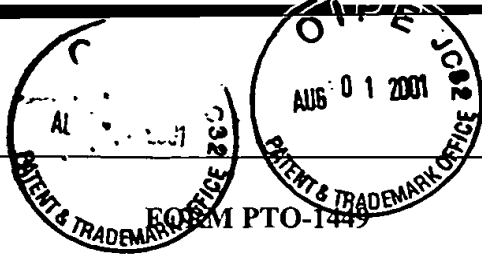
By: \_\_\_\_\_

Arun Chandra

Registration No. 43,537

**Correspondence Address:**

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



## INFORMATION DISCLOSURE CITATION

Attorney Docket:  
3984-4008Serial No.:  
09/834,287Applicant:  
Peter Sebastian GargoneFiling Date:  
April 12, 2001Group Art Unit:  
2161RECEIVED  
AUG 06 2001  
Technology Center 2100

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Sub-Class	Filing Date
	5,225,978	July 6, 1993	Petersen, et al.			
	5,390,330	February 14, 1995	Talati			
	5,406,477	April 11, 1995	Harhen			
	5,446,885	August 29, 1995	Moore, et al.			
	5,630,127	May 13, 1997	Moore, et al.			
	5,677,997	October 14, 1997	Talatik			
	5,875,333	February 23, 1999	Fish, et al.			
	5,915,115	June 22, 1999	Talati			
	5,933,836	August 3, 1999	Gobat			
	5,936,860	August 10, 1999	Arnold, et al.			
	5,946,694	August 31, 1999	Copeland, et al.			
	5,960,200	September 28, 1999	Eager, et al.			
	5,977,967	November 2, 1999	Berner, et al.			
	6,016,477	January 18, 2000	Ehnebuske, et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Country	Class	Sub-Class	Translation
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

## OTHER DOCUMENTS (Including Author, Title, Date, etc.)


Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.  
Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicant.